CS167: Machine Learning

Convolutional Neural Network (CNN)

Wednesday, November 20th, 2024



What's Next?

• A multilayer perceptron (MLP) is the simplest type of neural network. It consists of perceptrons (aka nodes, neurons) arranged in layers



• A multilayer perceptron (MLP) is just the tip of the iceberg; plenty of other neural network variants exist.

Today's Agenda

- Convolutional Neural Network (CNN): another type of neural network
 - Convolution operation
 - Nonlinearity
 - Pooling operation
 - CNN: convolutional layer + nonlinearity + pooling layer

• A convolutional neural network that applies convolutional filters on gridlike input such as a image

- Image data is represented as a twodimensional grid of pixels, either grayscale (monochromatic) or color (RBG)
 - each pixel corresponds to one or multiple numeric values: if it's grayscale, it is one number, if it's color, it corresponds to 3 numbers (a red, a green and a blue value)



Red channel

Green channel

Blue channel

• A convolutional neural network that applies convolutional filters on gridlike input such as a image



• A convolutional neural network that applies convolutional filters on gridlike input such as a image



- In order to capture the local dependence of images, we use **convolutional filters**. A convolutional filter, aka kernel:
 - is smaller than the input data (usually 3x3 or 5x5 or 7x7)
 - uses dot product multiplication between a piece of the input that is the size of the filter and the filter
 - scans over the image from the upper left to the bottom right

- What does a **convolution operation** do?
- In an ideal **convolution operation**, a kernel is "flipped" (horizontally and vertically) and then it is applied through the image (from left to right, and top to bottom)





How to calculate the output volume size?



animatedai.github.io

How to calculate the output volume size?



https://www.youtube.com/watch?v=w4kNHKcBGzA&t=210s

• What does a convolution operation do?

			0	0	0	0	0	0	0	0	0	0										
			0	0	0	0	0	0	0	0	0	0		0	10	20	30	30	30	20	10	
			0	0	0	90	90	90	90	90	0	0		0	20	40	60	60	60	40	20	
1/9	1/9		0	0	0	90	90	90	90	90	0	0		0	30	60	90	90	90	60	30	
1/9	1/9	×	0	0	0	90	90	90	90	90	0	0		0	30	50	80	80	90	60	30	
1/9	1/9	•	0	0	0	90	0	90	90	90	0	0		0	30	50	80	80	90	60	30	
			0	0	0	90	90	90	90	90	0	0		0	20	30	50	50	60	40	20	
			0	0	0	0	0	0	0	0	0	0		10	20	30	30	30	30	20	10	
			0	0	90	0	0	0	0	0	0	0		10	10	10	0	0	0	0	0	
			0	0	0	0	0	0	0	0	0	0										

H

1/9

1/9

1/9

kernel of size 3x3 units

F'

image of 10x10 units

convolved result of 10x10 units

- What does a **convolution operation** do?
- convolution operation can be achieved with a series of dot products between portions of input feature map and a convolution filter (kernel) weights



Another visualization shows a yellow convolution filter applied to a green image, resulting in the convolved feature

- What does a convolution operation do?
- convolution operation can be achieved with a series of dot products between portions of input feature map and a convolution filter (kernel) weights



2 + 10 + 4 + 26 + 100 + 30 + 8 + 12 + 6 = 198

Convolution Operation Animation!

- What does a **convolution operation** do?
- convolution operation can be achieved with a series of dot products between portions of input feature map and a convolution filter (kernel) weights



input

Another visualization shows a convolution filter applied to an image, resulting in the convolved feature

Group Exercise

• find the result of the convolution operation below

K	erne	el		Image							
0	-1	0		2	2	2					
-1	5	-1	*	2	3	2					
0	-1	0		2	2	2					
к	erne	el		In	nage	e					
0	-1	0		2	2	2					
0 -1	-1 5	0 -1	*	2 2	2	2					

• A **convolutional neural network (CNN)** is a neural network with specialized connectivity structure



• Every layer of a CNN transforms the <u>input volume</u> to an <u>output volume</u> of neuron activations. The red input layer holds the image, so its width and height would be the dimensions of the image, and the depth would be 3 (Red, Green, Blue channels)



- Weights correspond to the filter (kernel) values
- Convolutional neural network can learn their own filters!
 - We do not need to provide the values inside the kernel

CNN: How to calculate the output volume size?



CNN: How to calculate the output volume size?

- An input volume has size (*WxWx3*), eg, (227, 227, 3)
- Filter size/receptive field is (FxF), eg, (11x11)
- Spatial Stride **S**, eg, **S**=4
- Padding size *P*, eg, *P*=0
- Number of filters *K*, eg, *K*=96

(W - F + 2P)

S

output

volume width/

height





How to calculate the output volume size?

- An input volume has size (W₁ x H₁ x D₁)
 - Filter size/receptive field is (FxF)
 - Spatial stride size **S**
 - Padding size **P**
 - Number of filters *K*
- Spatial sizes of the output volume (W₂ x H₂ x D₂)

$$W_2 = \frac{(W_1 - F + 2P)}{S} + 1$$

 $H_2 = \frac{(H_1 - F + 2P)}{S} + 1$



$$D_2 = K$$

- Number of filter weight parameters = (F x F x D₁) x K
- Number of bias parameters = K

Group Exercise

- What will the size of the output of the following convolution be?
 - (5x5x1) * (3x3)

2	4	9	1	4		1	2	
2	1	4	4	0	-	'	2	5
1	1	2	9	2	*	-4	7	4
7	3	5	1	3		2	-5	1
2	3	4	8	5		F	Filter	/
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Nonlinear Function

• Just like an MLP, each convolutional output goes through a non-linear function such as Sigmoid, Tanh, or Rectified Linear Unit (ReLU)

$$convolution = 1*1 + 1*0 + 1*1 + 0*0 + 1*1 + 1*0 + 0*1 + 0*0 + 1*1 = 4$$





Today's Agenda

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Pooling Operation

- Image data can get computationally inefficient, really quickly. To avoid this, we often toss in a layer that helps us to **summarize** and **downsample** the data
- In classical CNN, we find another useful operation called **pooling operation**
- A common pooling operation is **max pooling**, and its goal is to locally summarize the convolution. It performs something like a convolution, but rather than taking the dot product, it takes the maximum element in the filter area



Pooling Operation

- Pooling operation downsamples the volume spatially, independently in each depth slice of the input volume
- Besides max pooling, other pooling operations include: sum pooling, average pooling



CNN: A Composition of Convolutional Layers

- We've talked about **image data**, **convolutions**, **nonlinearity**, **max pooling**, and how they are related to some computer vision tasks. Let's connect the dots
 - input is an image (in this case a color image, so 3 channels-red, green, and blue)
 - there are several filters, not just one.
 - Conv2D layers with ReLU are often followed by maxpool
 - towards the end of the model, we switch to fully connected (Dense) layer
 - We have as many output nodes as we have classes to predict



Reference